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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/629,524 | 07/29/2003 | Aldrich Lau | 9584-039-999 |

CONFIRMATION NO. 6868

20583
 PENNIE AND EDMONDS
 1155 AVENUE OF THE AMERICAS
 NEW YORK, NY 100362711

FORMALITIES LETTER



OC000000011121890

Date Mailed: 10/28/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$126** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

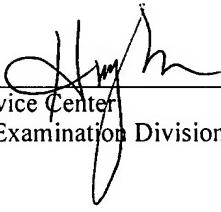
Total additional fee(s) required for this application is **\$1026** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$126**

- \$126 for 7 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED

See www.uspto.gov for complete FY 2003
and FY-2004 Fee Schedules

* Indicates
fees remain
at FY 2003
amount

| DESCRIPTION | FY 2003 | FY 2004 |
|--|---------|---------|
| Basic filing fee - Utility | \$750 | \$770 |
| Basic filing fee - Utility (Small Entity) | \$375 | \$385 |
| Independent claims in excess of three | \$84 | \$86 |
| Independent claims in excess of three (Small Entity) | \$42 | \$43 |
| Claims in excess of twenty | \$18 | \$18* |
| Claims in excess of twenty (Small Entity) | \$9 | \$9* |
| Multiple dependent claim | \$280 | \$290 |
| Multiple dependent claim (Small Entity) | \$140 | \$145 |
| Surcharge - Late filing fee | \$130 | \$130 |
| Surcharge - Late filing fee (Small Entity) | \$65 | \$65 |
| Design filing fee | \$330 | \$340 |
| Design filing fee (Small Entity) | \$165 | \$170 |
| Plant filing fee | \$520 | \$530 |
| Plant filing fee (Small Entity) | \$260 | \$265 |
| Reissue filing fee | \$750 | \$770 |
| Reissue filing fee (Small Entity) | \$375 | \$385 |
| Reissue independent claims | \$84 | \$86 |
| Reissue independent claims (Small Entity) | \$42 | \$43 |
| Reissue claims in excess of twenty | \$18 | \$18* |
| Reissue claims in excess of twenty (Small Entity) | \$9 | \$9* |
| Provisional application filing fee | \$160 | \$160* |
| Provisional application filing fee (Small Entity) | \$80 | \$80* |
| Surcharge - Late provisional filing fee | \$50 | \$50* |
| Surcharge - Late provisional filing fee (Small Entity) | \$25 | \$25* |
| Non-English Specification | \$130 | \$130* |
| Extension - First month | \$110 | \$110* |
| Extension - First month (Small Entity) | \$55 | \$55* |
| Extension - Second month | \$410 | \$420 |
| Extension - Second month (Small Entity) | \$205 | \$210 |
| Extension - Third month | \$930 | \$950 |
| Extension - Third month (Small Entity) | \$465 | \$475 |
| Extension - Fourth month | \$1,450 | \$1,480 |
| Extension - Fourth month (Small Entity) | \$725 | \$740 |
| Extension - Fifth month | \$1,970 | \$2,010 |
| Extension - Fifth month (Small Entity) | \$985 | \$1,005 |
| Petition | \$130 | \$130* |
| Processing returned checks | \$50 | \$50* |

For further fee information, please call

(703-308-HELP)